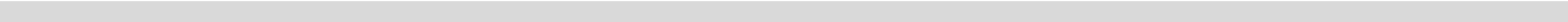


**Research Terms and Conditions Appendix A  
Prior Approval Matrix  
November 12, 2020**

	Reference	RTC Overlay	NSF	DOE	NIH	USDA NIFA	DOC	NASA	DHS-GFAD	DHS-FEMA
<b>200.407</b>										
<b>200.407(a)</b>										
Changes in principal investigator (PI), project leader, project partner, or scope of effort.	200.201(b)(5)	Required	Required	Required	Required	Required	Required	Required	Required	Required
<b>200.407(b)</b>										
Use of unrecovered indirect costs, including indirect costs on cost sharing or matching.	200.306(c)	Waived	Waived	Waived	Waived	Waived <sup>15</sup>	Waived	Waived	Required	Waived
Use of current fair market value to determine the value of non-Federal entity contributions of services and donations of property for the purposes of cost sharing or matching.	200.306(d)(2)	Waived	Waived	Waived	Waived	Waived	Waived	Waived	Waived	Waived
Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the Federal award supports activities that require use of equipment, buildings or land.										



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Reference

RTC Overlay

NSF

DOE

NIH

USDA  
NIFA

DOC



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<sup>9</sup> Waived unless change in scope and except when subrecipient is foreign.										
<sup>10</sup> Waived except when Notice of Award indicates prior approval is required.										
<sup>11</sup> Waived unless change in scope.										
<sup>12</sup> Waived for alterations and renovations costing up to \$500,000, unless change in scope or rebudgeting into A&R exceeds 25% of budget period total. See Rearrangement and Reconversion Costs within NIH Grants Policy Statement Chapter 7.9.1.										
<sup>13</sup> Required, participant support costs are only allowable when identified in specific Funding Opportunity Announcements (FOAs).										
<sup>14</sup> This also is required for any co-PI/co-PD on the project.										
<sup>15</sup> Only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institution's negotiated indirect cost rate, whichever is less.										
<sup>16</sup> Waived except when: 1) subaward(s) would be more than 50% of the total dollars of the award or 2) subaward is to a federal agency. In these situations, prior approval is required.										
<sup>17</sup> Except when the change is a reduction in the amount of approved cost-sharing/match in which case prior approval is required.										
<sup>18</sup> If the cost of the equipment is appropriately prorated among the activities to be benefitted.										
<sup>19</sup> Department of Commerce (DOC) permits non-Federal entities to own equipment upon acquisition without conditions or without obligation to the sponsor at termination of project, unless otherwise specified in a specific award condition. DOC permits trade in equipment to buy replacement equipment.										
<sup>20</sup> With prior approval, may use to meet cost share requirement.										
<sup>21</sup> Applies to PIs and co-PIs.										
<sup>22</sup> Waived unless results in a change of scope.										
<sup>23</sup> Waived unless total cost share amount is reduced from what was approved in budget.										
<sup>24</sup> Waived if the cost of equipment is appropriately prorated among the activities to be benefitted.										
<sup>25</sup> Pertains only to items produced under this award in which the Federal share was used for all or part of the development.										